

FORM PTO-1390 (REV 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DATE: 9 Dec. 2004	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC § 371				ATTORNEY DOCKET NUMBER 57964US004	
				U.S. APPLICATION NO. (if known, see 37 CFR § 1.5) 10/517844	
INTERNATIONAL APPLICATION NO. PCT/US03/018232		INTERNATIONAL FILING DATE JUNE 10, 2003		PRIORITY DATE CLAIMED JULY 10, 2002	
TITLE OF INVENTION FLEXIBLE MOLD AND METHOD OF MANUFACTURING MICROSTRUCTURE USING THE SAME					
APPLICANT(S) FOR DO/EO/US Chikafumi Yokoyama, Akira Yoda and Hiroshi Kikuchi					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 USC § 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 USC § 371.</li> <li>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 USC § 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</li> <li>4. <input type="checkbox"/> The US has been elected (Article 31).</li> <li>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC § 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ol> </li> <li>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC § 371(c)(2)). <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 USC § 154(d)(4)</li> </ol> </li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC § 371(c)(3)) <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC § 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 USC § 371 (c)(4)).</li> <li>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC §§ 371(c)(5)).</li> </ol>					
Items 11 to 20 below concern document(s) or information included:					
<ol style="list-style-type: none"> <li>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR §§ 1.97 and 1.98.</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A preliminary amendment.</li> <li>14. <input type="checkbox"/> An Application Data Sheet under 37 CFR § 1.76.</li> <li>15. <input type="checkbox"/> A substitute specification.</li> <li>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</li> <li>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §§ 1.821 – 1.825.</li> <li>18. <input type="checkbox"/> A second copy of the published international application under 35 USC § 154(d)(4).</li> <li>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC § 154(d)(4).</li> <li>20. <input type="checkbox"/> Other items or information:</li> </ol>					

## Filing of Papers and Fees by Express Mailing

Pursuant to 37 CFR § 1.10, this application and the documents and fees listed on this transmittal letter are being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Date of Deposit

9 Dec. 2004

Express Mail Label No.

EV454469036US

☒ Customer Number for  
Correspondence Address:

32692

U.S. APPLN. NO. (if known see 37 CFR § 1.5): <b>07/517644</b>		INTERNATIONAL APPN. NO.: PCT/US03/018232		ATTORNEY'S DOCKET NO.: 57964US004	
21. <input checked="" type="checkbox"/> The following fees are submitted: :				Calculations	PTO Use Only
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR § 1.492(e)).				\$0.00	
<b>Fee Calculation</b>	<b>Number Filed</b>		<b>Extra</b>	<b>Rate</b>	<b>Fees</b>
Size Fee for each add'l 50 sheets of appln. over 100	32	-100	0	\$250.00	\$0.00
Total claims	10	- 20 =	0	x \$50.00	\$0.00
Independent claims	2	- 3 =	0	x \$200.00	\$0.00
Add'l fee for one or more multiple dependent claims				\$360.00	\$0.00
Basic Filing Fee					\$300.00
Exam Fee					\$200.00
Search Fee					\$500.00
<b>Total Amount Due</b>					<b>\$1,000.00</b>
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27. The fees indicated above are reduced by 1/2.					\$0.00
<b>SUBTOTAL =</b>					<b>\$1,000.00</b>
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest priority date (37 CFR § 1.492(f)).					\$0.00
<b>TOTAL NATIONAL FEE =</b>					<b>\$1,000.00</b>
Fee for recording the enclosed assignment (37 CFR § 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§ 3.28, 3.31). \$40.00 per property					\$0.00
<b>TOTAL FEES ENCLOSED =</b>					<b>\$1,000.00</b>
					Amount to be \$
					refunded:
					charged: <b>\$1,000.00</b>

a. ☐ A check in the amount of \$\_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 13-3723 in the amount of \$1,000.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-3723. A duplicate copy of this sheet is enclosed.

**NOTE: Where an appropriate time limit under 37 CFR § 1.495 has not been met, a petition to revive (37 CFR § 1.137(a) or (b)) must be filed and granted to restore the application to pending status.**

Signature: *Carolyn A. Fischer*

Name: <b>CAROLYN A. FISCHER</b>	Registration Number: <b>39,091</b>
Address: <b>Office of Intellectual Property Counsel 3M Innovative Properties Company P.O. Box 33427 St. Paul, Minnesota 55133-3427</b>	